

MIFID II RTS 28 Report - 2020

| Class of Instrument | Equities – Shares & Depositary Receipts | |
|--|--|--|
| Notification if <1 average trade per business day in the previous year | No | |
| Top 5 Brokers ranked in terms of volume (descending order) | Proportion of volume executed as a percentage of total in that class | Proportion of orders executed as percentage of total in that class |
| EXANE LIMITED 213800SH9MTNZQJKP526 | 16,42% | 15,60% |
| JP MORGAN SECURITIES K6Q0W1PS1L1O4IQL9C32 | 12,77% | 11,14% |
| KEPLER CHEVREUX 9695005EOZG9X8IRJD84 | 12,33% | 17,63% |
| BARCLAYS BANK 2G5BKIC2CB69PRJH1W31 | 11,75% | 11,59% |
| MORGAN STANLEY EUROPE SE 54930056FHWP7GIWYY08 | 11,36% | 8,04% |

| Class of Instrument | Equities – Shares & Depositary Receipts Tick size liquidity bands 5 and 6 (from 2000 trades per day) | |
|--|---|--|
| Notification if <1 average trade per business day in the previous year | No | |
| Top 5 Brokers ranked in terms of volume (descending order) | Proportion of volume executed as a percentage of total in that class | Proportion of orders executed as percentage of total in that class |
| EXANE LIMITED 213800SH9MTNZQJKP526 | 17,56% | 16,09% |
| KEPLER CHEVREUX 9695005EOZG9X8IRJD84 | 13,31% | 18,79% |
| JP MORGAN SECURITIES K6Q0W1PS1L1O4IQL9C32 | 11,66% | 9,77% |
| BARCLAYS BANK 2G5BKIC2CB69PRJH1W31 | 11,24% | 10,63% |
| MORGAN STANLEY EUROPE SE 54930056FHWP7GIWYY08 | 10,44% | 7,93% |

| Class of Instrument | Equities – Shares & Depositary Receipts Tick size liquidity bands 3 and 4 (from 80 to 1999 trades per day) | |
|--|---|--|
| Notification if <1 average trade per business day in the previous year | Yes | |
| Top 5 Brokers ranked in terms of volume (descending order) | Proportion of volume executed as a percentage of total in that class | Proportion of orders executed as percentage of total in that class |
| EXANE LIMITED 213800SH9MTNZQJKP526 | 21,97% | 19,31% |
| KEPLER CHEVREUX 9695005EOZG9X8IRJD84 | 20,68% | 22,76% |
| CARNEGIE INVESTMENT BANK AB 529900BR5NZNQZEVQ417 529900BR5NZNQZEVQ417 | 15,29% | 7,93% |
| JP MORGAN SECURITIES K6Q0W1PS1L1O4IQL9C32 | 11,74% | 7,59% |
| WOOD & COMPANY 549300UYJKOXE3HB8L79 | 5,91% | 14,48% |

| Class of Instrument | Equities – Shares & Depositary Receipts Tick size liquidity band 1 and 2 (from 0 to 79 trades per day) | |
|--|---|--|
| Notification if <1 average trade per business day in the previous year | No | |
| Top 5 Brokers ranked in terms of volume (descending order) | Proportion of volume executed as a percentage of total in that class | Proportion of orders executed as percentage of total in that class |
| JP MORGAN SECURITIES K6Q0W1PS1L1O4IQL9C32 | 23,14% | 23,37% |
| MORGAN STANLEY EUROPE SE 54930056FHWP7GIWYY08 | 21,17% | 10,81% |
| UBS EUROPE SE 5299007QVIQ7IO64NX37 | 20,82% | 13,83% |
| BARCLAYS BANK 2G5BKIC2CB69PRJH1W31 | 17,49% | 21,62% |
| MERRILL LYNCH 549300FHOWJAPEHTIQ77 | 8,61% | 10,17% |